

10/533806

JC17 Rec'd PCT/PTO 05 MAY 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Hashime KANAZAWA et al. : **Mail Stop: PCT**
Serial No. NEW : Attorney Docket No. 2005_0741A
Filed May 5, 2005 :

PYRAZOLONAPHTHYRIDINE DERIVATIVES
[Corresponding to PCT/JP2003/014119
Filed November 5, 2003]

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☒ each reference previously cited in the international application PCT/JP2003/014119; and/or
- ☐ each reference previously cited in prior parent application Serial No. _____.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

10/533806

JC17 Rec'd PCT/PTO 05 MAY 2005

and thus no certification and/or fee is required.

- 1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) ☐ the certification of paragraph 2 below is provided, **or**
(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☒ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☐ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☒ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Hashime KANAZAWA et al.

By Warren M. Cheek, Jr.
 Warren M. Cheek, Jr.
 Registration No. 33,367
 Attorney for Applicants

WMC/dlk
 Washington, D.C. 20006-1021
 Telephone (202) 721-8200
 Facsimile (202) 721-8250
 May 5, 2005

Sheet 1 of 1

INFORMATION DISCLOSURE STATEMENT

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: May 5, 2005

ATTY DOCKET NO.
2005_0741A

SERIAL NO.
NEW 10/533806

APPLICANT
Hashime KANAZAWA et al.

FILING DATE
May 5, 2005

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,281,610	1/1994	Suzuki et al.			Corresponds to AF
	AB	6,117,875	9/2000	Shimazaki et al.			Corresponds to AM
	AC	6,352,989	3/2002	Miyazaki et al.			Corresponds to AO
	AD						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AE	6-100561	4/1994	JP			Partial
	AF	526840	2/1993	EP			
	AG	00/26218	5/2000	WO			
	AH	01/42244	6/2001	WO			
	AI	1 236 725	9/2002	EP			Corresponds to AH
	AJ	00/66584	11/2000	WO			
	AK	2 388 658	11/2000	CA			Corresponds to AJ
	AL	7-10875	1/1995	JP			Partial
	AM	97/24355	7/1997	WO			
	AN	99/06404	2/1999	WO			
	AO	99/37622	7/1999	WO			
	AP	00/32192	6/2000	WO			
	AQ	1 145 714	10/2001	EP			Corresponds to AP
	AR						

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AS	
--	----	--

EXAMINER

DATE CONSIDERED